

2161



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **Dutta et al.**

Serial No.: **09/833,347**

Filed: **April 12, 2001**

For: **Method and Apparatus for
Incorporating Scanned Checks into
Financial Applications**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

§ Group Art Unit: **2161**
§
§ Examiner: **Unknown**
§
§ Attorney Docket No.: **AUS920010214US1**
§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Oct 20, 2005.
By: Louise Fay
Louise Fay

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:
ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AA; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee
Duke W. Yee

Registration No. 34,285
YEE & ASSOCIATES, P.C.
P.O. Box 802333
Dallas, Texas 75380
(972) 385-8777
ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dutta et al.

Serial No: **09/833,347**

Filed: **April 12, 2001**

Title: **Method and Apparatus for
Incorporating Scanned Checks into
Financial Applications**

Group Art Unit: **2161**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this Supplemental IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
- d) before the mailing of a first Office Action after filing of a request for Continued Examination.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



Duke W. Yee
Registration No. 34,285
Yee & Associates, P.C.
P.O. Box 802333
Dallas, Texas 75380
Telephone: (972) 385-8777



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Complete if Known	
Application Number	09/833,347
Filing Date	April 12.2001
First Named Inventor	Dutta et al.
Examiner Name	Unknown
Art Unit	2161
Attorney Docket No.	AUS920010214US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	US 4,991,205	Feb. 5, 1991	Lemelson	

FOREIGN PATENT DOCUMENTS				
Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE	
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner: _____ Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.